

RAYMOND A. JOAO, ESQ.
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# FAX COVER SHEET

To: The United States Patent and Trademark Office

From: Raymond A. Joao, Esq.

Date: June 15, 2007

Fax No.: 571-273-2885

No. Pages: 21 (including cover)

Re: ISSUE FEE TRANSMITTAL LETTER - U.S. Patent Application Serial No. 09/659,264

To Whom It May Concern:

Please find transmitted herewith an ISSUE FEE TRANSMITTAL LETTER for filing in the above-identified application.

Respectfully Submitted,

Raymond K. Joac Reg. No. 35,907

hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-2885 on June 15, 2007.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

RAYMOND A. JOAO

SERIAL NO.

: 09/659,264

FILED

: SEPTEMBER 11, 2000

FOR

: APPARATUS AND METHOD FOR PROVIDING AND/OR

FOR FULFILLING SUBSCRIPTION SERVICES

EXAMINER

: J. WINTER

GROUP

: 3621

ALLOWED

April 5, 2007

CONFIRMATION NO.: 5602

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### ISSUE FEE TRANSMITTAL LETTER

Sir:

Applicant submits herewith the following papers for entry in the above-identified application:

ISSUE FEE TRANSMITTAL FORM PTOL-85;

- 2. STATEMENT OF NEW DETERMINATION OF SMALL ENTITY STATUS, FOR PAYMENT OF ISSUE FEE, PURSUANT TO 37 C.F.R. §1.27(g);
- 3. Credit Card Payment Form for \$700.00 for payment of the Issue Fee;
- 4. Fee Transmittal Sheet (in duplicate) for the payment of the Issue Fee; and
- 5. COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE.

As noted above, Applicant submits herewith ISSUE FEE TRANSMITTAL FORM PTOL-85 along with a Credit Card Payment Form for \$700.00 for payment of the Issue Fee for the above-identified application. A fee Transmittal Sheet (in duplicate), for the payment of the Issue Fee, is also submitted herewith.

Applicant respectfully requests that the aboveidentified payment of \$700.00 be applied towards payment of the Issue Fee in the above-identified application.

Applicant also submits herewith a STATEMENT OF NEW DETERMINATION OF SMALL ENTITY STATUS, FOR PAYMENT OF ISSUE FEE, PURSUANT TO 37 C.F.R. §1.27(g). Applicant is a Small Entity and, therefore, Small Entity Status is entitled to be asserted for the above-identified application.

09/659.264

Applicant also submits herewith a COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE for entry into the above-identified application.

Applicant respectfully requests that the aboveidentified papers and the payment of the Issue Fee be entered into the above-identified application.

Respectfully Submitted,

Raymond A. Joao Reg. No. 35,907

June 15, 2007

Raymond A. Joao, Esq. 122 Bellevue Place Yonkers, New York 10703 (914) 969-2992

914 96/9 JUN 1 5 2007 **FORMARY O** Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations A

PTO/SB/17 (06-07)

Approved for use through 09/30/2007, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE squired to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.	Complete If Known			
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	Application Number	09/659,264		
FEE TRANSMITTAL	Filing Date	SEPTEMBER 11, 2000		
For FY 2007	First Named Inventor	RAYMOND A. JOAO		
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	J. WINTER		
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	Art Unit	3621		
TOTAL AMOUNT OF PAYMENT (\$) 700.00	Attorney Docket No.	RJ167		
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FEE CALCULATION							
1. BASIC FILING, SEA			FEES				•
	FILING	FEES Small Entity	SEARC	H FEES Small Entity		TION FEES	ļ
Application Type	Fee (\$)	Fee (5)	Fee (5)	Fee (\$)	Fee (\$)	mall Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FE	ES	`				Fee (\$)	<u>\$mail Entity</u> Fee (\$)
Each claim over 20 (						50	25
Each independent cla		including Reiss	ues)			200	100
Multiple dependent o	-					360	180
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indep. Claims -3 or HP ≖	Extra Clair		Fee Pa	ıld (\$)	•		
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3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
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4. OTHER FEE(S)	-	_ · •	\·			,	Sees Bold (#)
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filin	g surcharge	): ISSUE	FEE				\$700.00

SUBMITTED BY			
Signature	fagme life-	Registration No. (Attorney/Agent) 35, 907	Telephone 914-969-2992
Name (Print/Type)	RAYMOND A. JOAO		Date 6/15/07

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including galhering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademant Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22319-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

06 15 208 7500 20 FAX 91 969 2595 JUN 1 5 2007

I hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-2885 on June 15, 2007.

Raymond A. Joac

### **RJ167**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO

SERIAL NO. : 09/659,264

FILED : SEPTEMBER 11, 2000

FOR : APPARATUS AND METHOD FOR PROVIDING AND/OR

FOR FULFILLING SUBSCRIPTION SERVICES

EXAMINER : J. WINTER

GROUP : 3621

ALLOWED: April 5, 2007

CONFIRMATION NO.: 5602

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# STATEMENT OF NEW DETERMINATION OF SMALL ENTITY STATUS, FOR PAYMENT OF ISSUE FEE, PURSUANT TO 37 C.F.R. §1.27(g)

Sir:

Applicant is a Small Entity and, therefore, Small Entity Status is entitled to be asserted for the above-

identified application.

Respectfully Submitted,

Reg. No. 35,907

June 15, 2007

Raymond A. Joao, Esq. 122 Bellevue Place Yonkers, New York 10703 (914) 969-2992

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### **RJ167**

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EXAMINER : J. WINTER

: 3621 GROUP

ALLOWED: April 5, 2007

CONFIRMATION NO.: 5602

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

#### Sir:

This is a Comments On Statement Of Reasons For Allowance in the above-identified application. Entry of this Comments On Statement Of Reasons For Allowance is respectfully requested.

Applicant respectfully submits that the prior art does not disclose or suggest the present invention, as defined by each of the allowed pending Claims 129, 131, 132, and 138-179.

Regarding independent Claim 129, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: receiving a request to receive or pick-up, at a retail facility or a store pursuant to a subscription, a printed issue of at least one of a periodical, a newspaper, and a magazine; processing information regarding the request with a processing device, wherein the processing device determines whether the subscription is active or not active or determines whether any issue or any issues of the at least one of a periodical, a newspaper, and a magazine, remain to be fulfilled pursuant to the subscription; and transmitting a message in response to the request, wherein the message contains an authorization or a denial of the request, and further wherein the message is transmitted to a point-of-sale transaction device located at the retail facility or the store.

Regarding independent Claim 131, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: processing a request to create or initiate a subscription which allows for printed issues of at least one of a periodical, a newspaper, and a magazine, to be picked-up at a retail facility or a store or to be received at a retail facility or a store or at another retail facility or another store, wherein the request is transmitted from a communication device or from a transaction device associated with the retail facility or the store, wherein the request contains information regarding a specified number of printed issues of the at least one of a periodical, a newspaper, and a magazine, to be picked-up or received at the retail facility or the store or at another retail facility or another store in or during a specified time period, wherein one or more of the printed issues can be skipped or foregone in or during the specified time period while ensuring that a subscriber is able to pick-up or receive all of the specified number of the printed issues at the retail facility or the store or at another retail facility or another store in or during the specified time period, wherein the request is processed with a processing device; creating or initiating a subscription in response to the request; and transmitting a message to the communication device or to the transaction

09/659,264

3

device associated with the retail facility or the store, wherein the message contains information regarding the subscription which was created or initiated.

Regarding independent Claim 132, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: processing a request to create or initiate a subscription which allows for printed issues of at least one of a periodical, a newspaper, and a magazine, to be picked-up at a retail facility or a store, or to be received at a retail facility or a store, wherein the request is transmitted from a communication device or from a transaction device associated with a retail facility or a store, wherein the request contains information regarding a specified number of printed issues of at least one of a periodical, a newspaper, and a magazine, to be picked-up or received in or during a specified time period, and a retail facility or a store from which the printed issues can be picked-up or received, wherein the request is processed with a processing device; creating or initiating a subscription in response to the request; and transmitting a message to the communication device or to the transaction device associated with the retail facility or the store, wherein the message

contains information regarding the subscription which was created or initiated.

Regarding independent Claim 175, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: inputting or entering information regarding a request to receive or pick-up, at a retail facility or a store pursuant to a subscription, a printed issue of at least one of a periodical, a newspaper, and a magazine, wherein the subscription is an existing subscription, and further wherein the information is input or entered into a point-of-sale transaction device located at the retail facility or the store; generating a request to receive or pick-up a printed issue of at least one of a periodical, a newspaper, and a magazine, at the retail facility or the store pursuant to the subscription; transmitting the request to a processing device; receiving the request, wherein the processing device receives the request; processing the information regarding the request with the processing device, wherein the processing device determines whether the subscription is active or not active or determines whether any issue or any issues of the at least one of a periodical, a newspaper, and a magazine, remain to be fulfilled pursuant to the subscription; transmitting a message in response to the request, wherein the message contains an

09/659.264

authorization or a denial of the request, and further wherein the message is transmitted to the point-of-sale transaction device; and providing information contained in the response via the point-of-sale transaction device.

Regarding independent Claim 176, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: receiving a first request to receive or pick-up, at a retail facility or a store pursuant to a subscription, a printed issue of at least one of a periodical, a newspaper, and a magazine; processing information regarding the first request with a processing device, wherein the processing device determines whether the first subscription is active or not active or determines whether any issue or any issues of the at least one of a periodical, a newspaper, and a magazine, remain to be fulfilled pursuant to the first subscription; transmitting a first message in response to the first request, wherein the first message contains an authorization or a denial of the first request, and further wherein the first message is transmitted to a first point-ofsale transaction device located at the retail facility or the store; receiving a second request to receive or pick-up a ticket or tickets to at least one of a sporting event, an entertainment event, a movie, a show, and a theater

performance, at the retail facility or the store pursuant to a second subscription; processing information regarding the second request; determining whether the second subscription is active or not active or determining whether any ticket or any tickets remain to be fulfilled pursuant to the second subscription; and transmitting a second message in response to the second request, wherein the second message contains information regarding an authorization or a denial of the second request, and further wherein the second message is transmitted to the first point-of-sale transaction device or to a second point-of-sale transaction device located at the retail facility or the store.

Regarding independent Claim 177, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: receiving a first request to receive or pick-up, at a retail facility or a store pursuant to a subscription, a printed issue of at least one of a periodical, a newspaper, and a magazine; processing information regarding the first request with a processing device, wherein the processing device determines whether the first subscription is active or not active or determines whether any issue or any issues of the at least one of a periodical, a newspaper, and a magazine, remain to be fulfilled pursuant to the first subscription; transmitting a first message in response to the

first request, wherein the first message contains an authorization or a denial of the first request, and further wherein the first message is transmitted to a first point-ofsale transaction device located at the retail facility or the store; receiving a second request to receive or pick-up at least one of a good or a service at the retail facility or the store pursuant to a second subscription; processing information regarding the second request; determining whether the second subscription is active or not active or determining whether any good or service or any goods or services remain to be fulfilled pursuant to the second subscription; and transmitting a second message in response to the second request, wherein the second message contains information regarding an authorization or a denial of the second request, and further wherein the second message is transmitted to the first point-of-sale transaction device or to a second point-of-sale transaction device located at the retail facility or the store.

Regarding independent Claim 178, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: processing a request to create or initiate a subscription which allows for printed issues of at least one of a periodical, a newspaper, and a magazine, to be picked-up at a retail facility or a store or to

09/659.264

be received at a retail facility or a store or at another retail facility or another store, wherein the request is transmitted from a communication device or from a transaction device associated with the retail facility or the store, wherein the request contains information regarding a specified number of printed issues of the at least one of a periodical, a newspaper, and a magazine, to be picked-up or received at the retail facility or the store or at another retail facility or another store in or during a specified time period, wherein one or more of the printed issues can be skipped or foregone in or during the specified time period while ensuring that a subscriber is able to pick-up or receive all of the specified number of the printed issues at the retail facility or the store or at another retail facility or another store in or during the specified time period, wherein the request is processed with a processing device; creating or initiating a subscription in response to the request; and transmitting a message to the communication device or to the transaction device associated with the retail facility or the store, wherein the message contains information regarding the subscription which was created or initiated and an authorization to allow for a first printed issue of the at least one of a periodical, a newspaper, and a magazine, to be picked-up or received at the retail

09/659,264

9

facility or the store pursuant to the subscription which was created or initiated.

Regarding independent Claim 179, Applicant respectfully submits that the prior art does not disclose or suggest a computer-implemented method, comprising: processing a request to create or initiate a subscription which allows for printed issues of at least one of a periodical, a newspaper, and a magazine, to be picked-up at a retail facility or a store or to be received at a retail facility or a store, wherein the request is transmitted from a communication device or from a transaction device associated with a retail facility or a store, wherein the request contains information regarding a specified number of printed issues of at least one of a periodical, a newspaper, and a magazine, to be picked-up or received in or during a specified time period, and a retail facility or a store from which the printed issues can be picked-up or received, wherein the request is processed with a processing device; creating or initiating a subscription in response to the request; and transmitting a message to the communication device or to the transaction device associated with the retail facility or the store, wherein the message contains information regarding the subscription which was created or initiated and an authorization to allow for a first

09/659,264

10

printed issue of the at least one of a periodical, a newspaper, and a magazine, to be picked-up or received at the retail facility or the store pursuant to the subscription which was created or initiated.

Claims 138-153 are dependant upon independent Claim 129 and are, therefore, allowable as they depend from allowable subject matter. Claims 154-163 are dependant upon independent Claim 131 and are, therefore, allowable as they depend from allowable subject matter. Claims 164-174 are dependant upon independent Claim 132 and are, therefore, allowable as they depend from allowable subject matter.

Entry of this COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE is respectfully requested.

Respectfully Submitted,

mond A Joao

Reg. No. 35,907

June 15, 2007

Raymond A. Joao, Esq. 122 Bellevue Place Yonkers, New York 10703 (914) 969-2992